

LU 6148 (US)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of )  
 )  
**Shahram Mihan et al.** )  
 )  
 Serial No. 10/583,204 )  
 )  
 Filed: **June 16, 2006** )  
 )  
 For **MONOCYCLOPENTADIENYL** )  
**COMPLEXES** )

Mail Stop Missing Parts  
 Commissioner for Patents  
 P. O. Box 1450  
 Alexandria, VA 22313-1450

Sir:

**LETTER TRANSMITTING MISSING REQUIREMENTS UNDER 35 USC 371**

With reference to the Notification of Missing Requirements Under 35 USC 371 in the United States Designated/Elected Office, mailed April 2, 2007, here is the **Declaration for Patent**, as well as an **Assignment** document for this case.

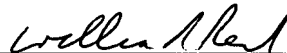
These documents relate to this application for which Form PTO-1390 was filed on June 16, 2006, and have been signed by the inventors whose names were given on the Application. Acceptance of this Declaration and Assignment and filing of the same is requested.

Please charge \$130 to Deposit Account No. 08-2336 to cover the surcharge required for late filing of the Declaration. For this purpose this letter is submitted in duplicate. Any deficiency or overpayment should be charged or credited to Deposit Account No. 08-2336

05/10/2007 GEREY1 08000027 082336 10583204  
 01 FC:1617 130.00 DA

Respectfully submitted,

**SHAHRAM MIHAN ET AL.**

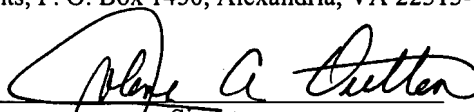
By   
William R. Reid  
Registration No. 47,894  
Attorney for Applicant

Basell USA Inc.  
912 Appleton Road  
Elkton, Maryland 21921

May 3, 2007

Attorney's Telephone No.: 410-996-1783  
Attorney's Facsimile No.: 410-996-1560  
Attorney's E-mail: william.reid@basell.com

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Missing Parts, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 on May 3, 2007.

  
Signature  
May 3 2007  
Date



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

U.S. APPLICATION NUMBER NO. 10/583,204	FIRST NAMED APPLICANT Shahram Mihan	ATTY. DOCKET NO. LU 6148 (US)
---	--	----------------------------------

INTERNATIONAL APPLICATION NO.
-------------------------------

PCT/EP04/14226

I.A. FILING DATE 12/14/2004	PRIORITY DATE 12/16/2003
--------------------------------	-----------------------------

34872

BASELL USA INC.  
 INTELLECTUAL PROPERTY  
 912 APPLETON ROAD  
 ELKTON, MD 21921

CONFIRMATION NO. 7727

371 FORMALITIES LETTER



\*OC000000023176207\*

Date Mailed: 04/02/2007

Due: 6/2/07

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 06/16/2006
- Copy of the International Search Report filed on 06/16/2006
- Copy of IPE Report filed on 06/16/2006
- Preliminary Amendments filed on 06/16/2006
- Information Disclosure Statements filed on 09/26/2006
- Request for Immediate Examination filed on 06/16/2006
- U.S. Basic National Fees filed on 06/16/2006
- Priority Documents filed on 06/16/2006

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 Surcharge.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web.  
<https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html>

For more information about EFS-Web please call the USPTO Electronic Business Center at **1-866-217-9197** or visit our website at <http://www.uspto.gov/ebc>.

**If you are not using EFS-Web to submit your reply, you must include a copy of this notice.**

JOHN L ANDERSON

Telephone: (703) 308-9140 EXT 211

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/583,204	PCT/EP04/14226	LU 6148 (US)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

I am filing patent application of

**Shahram Mihan et al.**

Serial No. **10/583,204**

Filed: **June 16, 2006**

For **MONOCYCLOPENTADIENYL  
COMPLEXES**

Mail Stop Missing Parts  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**TRANSMITTAL LETTER**

Submitted herewith for filing in the U.S. Patent and Trademark Office are the following:

- ☒ Postcard
- ☒ Transmittal Letter
- ☒ Letter Transmitting Missing Requirements (in duplicate) (2 pages)
- ☒ Copy of Form **PCT/DO/EO/905** (371 Formalities Notice) (2 pages)
- ☒ Copy of Declaration (2 pages)
- ☒ Assignment Cover Sheet (1 page)
- ☒ Copy of Assignment (2 pages)

Respectfully submitted,

**SHAHRAM MIHAN ET AL.**

By *William R. Reid*

**William R. Reid**

Registration No. **47,894**

**Attorney for Applicant**

Basell USA Inc.  
912 Appleton Road  
Elkton, Maryland 21921  
Date: **May 3, 2007**